

APPENDIX G: ABBREVIATED CITATIONS, WITHOUT TEXT, FOR KEY ELEMENTS OF PMT:

WORKSHEET 1: QUALITY MANAGEMENT

1A	10A NCAC 27G .0201:	GOVERNING BODY POLICIES
	10A NCAC 27G .0609:	LOCAL MANAGEMENT ENTITY REPORTING REQUIREMENTS
		CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07
		AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
		IMPLEMENTATION UPDATE # 32: ACCESSING CARE AND OTHER TOPICS
1B	10A NCAC 27G .0201:	GOVERNING BODY POLICIES
1C	10A NCAC 27G .0201:	GOVERNING BODY POLICIES
	10A NCAC 27G .0609:	LOCAL MANAGEMENT ENTITY REPORTING REQUIREMENTS
1D	10A NCAC 27G .0601:	SCOPE
	10A NCAC 27G .0602:	DEFINITIONS
	10A NCAC 27G .0603:	INCIDENT RESPONSE REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0604:	INCIDENT REPORTING REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0606:	REFERRAL OF COMPLAINTS TO LOCAL MANAGEMENT ENTITIES PERTAINING TO CATEGORY A OR CATEGORY B PROVIDERS
	10A NCAC 27G .0609:	LOCAL MANAGEMENT ENTITY REPORTING REQUIREMENTS
	10A NCAC 27G .7000:	LOCAL MANAGEMENT ENTITY RESPONSE TO COMPLAINTS
	10A NCAC 27G .7001:	SCOPE
	10A NCAC 27G .7002:	LOCAL MANAGEMENT ENTITY REQUIREMENTS CONCERNING COMPLAINTS
	10A NCAC 27G .7003:	REQUIREMENTS FOR LOCAL MANAGEMENT ENTITY COMPLAINT INVESTIGATIONS
1E		CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07
		AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
	[GENERAL RIGHTS]:	
	10A NCAC 27D .0101:	POLICY ON RIGHTS RESTRICTIONS AND INTERVENTIONS
	10A NCAC 27D .0102:	SUSPENSION AND EXPULSION POLICY
	10A NCAC 27D .0103:	SEARCH AND SEIZURE POLICY
	10A NCAC 27D .0104:	PERIODIC INTERNAL REVIEW
	10A NCAC 27D .0201:	INFORMING CLIENTS
	10A NCAC 27D .0202:	INFORMING STAFF
	10A NCAC 27D .0301:	SOCIAL INTEGRATION
	10A NCAC 27D .0302:	CLIENT SELF-GOVERNANCE
	10A NCAC 27D .0303:	INFORMED CONSENT
	10A NCAC 27D .0304:	PROTECTION FROM HARM, ABUSE, NEGLECT OR EXPLOITATION
	[TREATMENT RIGHTS]:	
	10A NCAC 27E .0101:	LEAST RESTRICTIVE ALTERNATIVE
	10A NCAC 27E .0102:	PROHIBITED PROCEDURES
	10A NCAC 27E .0103:	GENERAL POLICIES REGARDING INTERVENTION PROCEDURES
	10A NCAC 27E .0104:	SECLUSION, PHYSICAL RESTRAINT, ISOLATION TIME-OUT & PROTECTIVE DEVICES USED FOR BEHAVIORAL CONTROL
	10A NCAC 27E .0105:	PROTECTIVE DEVICES

10A NCAC 27E .0106:	INTERVENTION ADVISORY COMMITTEES
10A NCAC 27E .0107:	TRAINING ON ALTERNATIVES TO RESTRICTIVE INTERVENTIONS
10A NCAC 27E .0108:	TRAINING IN SECLUSION, PHYSICAL RESTRAINT AND ISOLATION TIME-OUT
10A NCAC 27E .0201:	SAFEGUARDS REGARDING MEDICATIONS
[SPECIFIC RULES FOR 24-HOUR FACILITIES]:	
10A NCAC 27F .0101:	SCOPE
10A NCAC 27F .0102:	LIVING ENVIRONMENT
10A NCAC 27F .0103:	HEALTH, HYGIENE AND GROOMING
10A NCAC 27F .0104:	STORAGE AND PROTECTION OF CLOTHING AND POSSESSIONS
10A NCAC 27F .0105:	CLIENT'S PERSONAL FUNDS
10A NCAC 27G .0504:	CLIENT RIGHTS COMMITTEE

WORKSHEET 2: PROTECTION FROM HARM – PROVIDER RESPONSE TO INCIDENTS AND COMPLAINTS

2A	10A NCAC 27G .0601:	SCOPE OF LOCAL MANAGEMENT ENTITY MONITORING OF FACILITIES AND SERVICES
	10A NCAC 27G .0602:	DEFINITIONS
	10A NCAC 27G .0603:	INCIDENT RESPONSE REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0604:	INCIDENT REPORTING REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0606:	REFERRAL OF COMPLAINTS TO LOCAL MANAGEMENT ENTITIES PERTAINING TO CATEGORY A OR CATEGORY B PROVIDERS
	10A NCAC 27G .0609:	LOCAL MANAGEMENT ENTITY REPORTING REQUIREMENTS
	10A NCAC 27G .7000:	LOCAL MANAGEMENT ENTITY RESPONSE TO COMPLAINTS
	10A NCAC 27G .7001:	SCOPE
	10A NCAC 27G .7002:	LOCAL MANAGEMENT ENTITY REQUIREMENTS CONCERNING COMPLAINTS
	10A NCAC 27G .7003:	REQUIREMENTS FOR LOCAL MANAGEMENT ENTITY COMPLAINT INVESTIGATIONS
		LME QUARTERLY INCIDENT REPORT MEMO/ INSTRUCTIONS: JANUARY, 2006
		INCIDENT REPORT CHANGES MEMO: FEBRUARY, 2006
		AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
		CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07
		MEMO: CHANGE IN THE DHHS INCIDENT AND DEATH REPORTING PROCESS REGARDING DEATHS DUE TO UNKNOWN CAUSES: SEPTEMBER, 2007
2B	10A NCAC 27E .0104:	SECLUSION, PHYSICAL RESTRAINT, ISOLATION TIME-OUT & PROTECTIVE DEVICES USED FOR BEHAVIORAL CONTROL
	10A NCAC 27G .0604:	INCIDENT REPORTING REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
		LME QUARTERLY INCIDENT REPORT MEMO/ INSTRUCTIONS: JANUARY, 2006
		INCIDENT REPORT CHANGES MEMO: FEBRUARY, 2006
		MEMO: CHANGE IN THE DHHS INCIDENT AND DEATH REPORTING PROCESS REGARDING DEATHS DUE TO UNKNOWN CAUSES: SEPTEMBER, 2007
2C	10A NCAC 27G .0603:	INCIDENT RESPONSE REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0604:	INCIDENT REPORTING REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
2D	10A NCAC 27G .0601:	SCOPE OF LOCAL MANAGEMENT ENTITY PROGRAM MONITORING OF FACILITIES AND SERVICES
	10A NCAC 27G .0603:	INCIDENT RESPONSE REQUIREMENTS FOR CATEGORY A AND B PROVIDERS

	10A NCAC 27G .0604:	INCIDENT REPORTING REQUIREMENTS FOR CATEGORY A AND B PROVIDERS
	10A NCAC 27G .0609:	LOCAL MANAGEMENT ENTITY REPORTING REQUIREMENTS
		LME QUARTERLY INCIDENT REPORT MEMO/ INSTRUCTIONS: JANUARY, 2006
		INCIDENT REPORT CHANGES MEMO: FEBRUARY, 2006
		MEMO: CHANGE IN THE DHHS INCIDENT AND DEATH REPORTING PROCESS REGARDING DEATHS DUE TO UNKNOWN CAUSES: SEPTEMBER, 2007
		IMPLEMENTATION UPDATE # 43: VARIOUS TOPICS
2E	10A NCAC 27G .0601:	SCOPE OF LOCAL MANAGEMENT ENTITY PROGRAM MONITORING OF FACILITIES AND SERVICES
	10A NCAC 27G .0606:	REFERRAL OF COMPLAINTS TO LOCAL MANAGEMENT ENTITIES PERTAINING TO CATEGORY A OR CATEGORY B PROVIDERS

WORKSHEET 3: STAFF COMPETENCIES AND EXPERIENCE

3A	10A NCAC 27G .0104:	STAFF DEFINITIONS
		CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07
		AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
		GUIDELINES FOR EVALUATING HUMAN SERVICES DEGREES – PREPARED BY OFFICE OF STATE PERSONNEL: LOCAL GOVERNMENT SERVICES, OCTOBER, 2003
		IMPLEMENTATION UPDATE #26 [PARAGRAPH FROM MEMO AND ATTACHMENT]: GUIDANCE ON IMPLEMENTING CORE RULES
	10A NCAC 27G .0202:	PERSONNEL REQUIREMENTS
	10A NCAC 27G .0203:	COMPETENCIES OF QUALIFIED PROFESSIONALS AND ASSOCIATE PROFESSIONALS
	10A NCAC 27G .0204:	COMPETENCIES AND SUPERVISION OF PARAPROFESSIONALS
3B	§ 122C-80:	CRIMINAL HISTORY RECORD CHECK REQUIRED FOR CERTAIN APPLICANTS FOR EMPLOYMENT: [Licensure of Facilities for the Mentally Ill, the Developmentally Disabled, and Substance Abusers]:
	10A NCAC 27G .0202:	PERSONNEL REQUIREMENTS
3C	10A NCAC 27G .0202:	PERSONNEL REQUIREMENTS
	10A NCAC 27G .0203:	COMPETENCIES OF QUALIFIED PROFESSIONALS AND ASSOCIATE PROFESSIONALS
	10A NCAC 27G .0204:	COMPETENCIES AND SUPERVISION OF PARAPROFESSIONALS
	§ 122C-80:	CRIMINAL HISTORY RECORD CHECK REQUIRED FOR CERTAIN APPLICANTS FOR EMPLOYMENT
	10A NCAC 27G .0104:	STAFF DEFINITIONS
		SERVICE-SPECIFIC RULES
	SERVICE DEFINITIONS:	DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
		JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
		DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
		IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
3D	10A NCAC 27G .0104:	STAFF DEFINITIONS
		IMPLEMENTATION UPDATE #51: CAP-MR/DD UPDATE:
		APPENDIX B OF IMPLEMENTATION UPDATE #36: SERVICE DEFINITION TRAINING REQUIREMENTS: MST:
	SERVICE DEFINITIONS:	DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
		JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT

		DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
		IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
3E	10A NCAC 27G .0202:	PERSONNEL REQUIREMENTS
	10A NCAC 27G .0209:	MEDICATION REQUIREMENTS
	10A NCAC 27E .0107:	TRAINING ON ALTERNATIVES TO RESTRICTIVE INTERVENTIONS
	10A NCAC 27E .0108:	TRAINING IN SECLUSION, PHYSICAL RESTRAINT AND ISOLATION TIME-OUT
	SERVICE DEFINITIONS:	DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
		JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
		DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
		IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
		IMPLEMENTATION UPDATE #36: TRAINING
		APPENDIX B OF IMPLEMENTATION UPDATE #36: SERVICE DEFINITION TRAINING REQUIREMENTS
		IMPLEMENTATION UPDATE #43
		IMPLEMENTATION UPDATE #63
		IMPLEMENTATION UPDATE #65:

WORKSHEET 4: PERSON CENTERED PLANNING, SERVICES, AND SUPPORTS

4A	10A NCAC 27G .0103:	GENERAL DEFINITIONS
	10A NCAC 27G .0205:	ASSESSMENT AND TREATMENT/HABILITATION OR SERVICE PLAN
		APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 5: INITIAL CLINICAL ASSESSMENTS AND EVALUATIONS
		CAP-MR/DD MANUAL
		IMPLEMENTATION UPDATE #36: COMPREHENSIVE CLINICAL ASSESSMENT
		PERSON-CENTERED PLANNING INSTRUCTION MANUAL - 2/3/10
		APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 6: PERSON CENTEREDNESS
		APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 8: SERVICE NOTES AND SERVICE GRIDS:
	SERVICE DEFINITIONS:	DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
		JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
		DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
		IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
4B		PERSON-CENTERED PLANNING INSTRUCTION MANUAL - 2/3/10
	10A NCAC 27G .0205:	ASSESSMENT AND TREATMENT/HABILITATION OR SERVICE PLAN
	SERVICE DEFINITIONS:	DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
		JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
		DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
		IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
		CAP-MR/DD MANUAL
4C		PERSON-CENTERED PLANNING INSTRUCTION MANUAL - 2/3/10
		CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07

	AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
	CAP-MR/DD MANUAL
4D	PCP INSTRUCTION MANUAL
	CAP-MR/DD MANUAL
	APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 6: PERSON-CENTEREDNESS
	SERVICE DEFINITIONS: DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
	JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
	DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
	IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
4E	PCP INSTRUCTION MANUAL
	CAP-MR/DD MANUAL
	APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 6: PERSON-CENTEREDNESS:
	SERVICE DEFINITIONS: DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
	JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
	DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
	IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
4F	PCP INSTRUCTION MANUAL
	CAP-MR/DD MANUAL
	APSM 45-2: RECORDS MANAGEMENT & DOCUMENTATION MANUAL: CHAPTER 2: THE CLINICAL SERVICE RECORD
	SERVICE DEFINITIONS: DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
	JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
	DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
	IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY
	CONTRACT BETWEEN LME AND PROVIDER AGENCY FOR STATE-FUNDED MH/DD/SA SERVICES – 6/13/07
	AGREEMENT BETWEEN LME AND DIRECT-ENROLLED PROVIDERS OF ENHANCED MH/DD/SA SERVICES FOR MEDICAID – UPDATED 5/15/06
4G	PCP INSTRUCTION MANUAL
	CAP-MR/DD MANUAL:
	SERVICE DEFINITIONS: DMA CLINICAL COVERAGE POLICIES 8A, 8D-1, AND 8D-2
	JULY, 2005, SPECIAL MEDICAID BULLETIN FOR TARGETED CASE MANAGEMENT
	DMH/DD/SA SERVICE DEFINITIONS OF 1/1/03
	IMPLEMENTATION UPDATE #5: DEVELOPMENTAL THERAPY

WORKSHEET 5: INDIVIDUAL RIGHTS

5A	10A NCAC 27D .0201:	INFORMING CLIENTS
	10A NCAC 27G .0201:	GOVERNING BODY POLICIES
	10A NCAC 27G .0601:	SCOPE
	10A NCAC 27G .7002:	LOCAL MANAGEMENT ENTITY REQUIREMENTS CONCERNING COMPLAINTS
	10A NCAC 27G .7003:	REQUIREMENTS FOR LOCAL MANAGEMENT ENTITY COMPLAINT INVESTIGATIONS

5B	10A NCAC 27D .0102:	SUSPENSION AND EXPULSION POLICY:
	10A NCAC 27D .0103:	SEARCH AND SEIZURE POLICY
	10A NCAC 27D .0201:	INFORMING CLIENTS
	10A NCAC 27D .0301:	SOCIAL INTEGRATION
	10A NCAC 27D .0302:	CLIENT SELF-GOVERNANCE
	10A NCAC 27D .0303:	INFORMED CONSENT
	10A NCAC 27D .0304:	PROTECTION FROM HARM, ABUSE, NEGLECT OR EXPLOITATION
5C	10A NCAC 27F .0104:	STORAGE AND PROTECTION OF CLOTHING AND POSSESSIONS
	10A NCAC 27E .0105:	PROTECTIVE DEVICES
5D	10A NCAC 27E .0104:	STORAGE AND PROTECTION OF CLOTHING AND POSSESSIONS
	10A NCAC 27E .0105:	PROTECTIVE DEVICES

